



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re of Application of: Robert J. Steffan et al.
Application No.: 10/670,646 Group Art No.:
Filed: September 24, 2003 Examiner:
For: Substituted 4-(Indazol-3yl)-Phenols
Confirmation No.:
Customer Number: 25291

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

1. Preliminary Statements

In accordance with 37 CFR 1.97 and 1.98, Applicants submit herewith patents, publications, or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56. This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) the information is material to the examination of this application; (iii) additional information material to the examination of this application does not exist; (iv) the information, protocols, results and the like reported by third parties are accurate or enabling; or (v) the information constitutes prior art to the subject invention.

CERTIFICATE OF MAILING 37 CFR §1.10

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV212533872US addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

December 18, 2003
Date

Roxanne L. Kelly
Roxanne L. Kelly

2. Identification of Time of Filing

This Information Disclosure Statement

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- b. ☒ is filed before the mailing date of a first Office Action on the merits.
- c. ☐ is filed before the mailing date of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.
- d. ☐ is filed after the period specified in 2(a), 2(b) or 2(c) above, but before the mailing date of a final action under 37 CFR 1.311. This statement includes a certification under 37 CFR 1.97(e) or the fee set forth in 37 CFR 1.17(p).
- e. ☐ is filed after the mailing date of a final action or Notice of Allowance but before payment of the issue fee. This statement includes (i) a certification under 37 CFR 1.97(e), and (ii) the fee set forth in 37 CFR 1.17(p).

3. ☐ Certification under 37 CFR 1.97(e) and 1.704(d)
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- b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement.
- c. ☐ The undersigned attorney certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign application patent office in a counterpart foreign application and was not received by any individual designated in 37 CFR 1.56(c) more than thirty (30) days prior to the filing of the statement.

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A legible copy of the patents, publications or other information cited on the attached form PTO 1449 is enclosed and if this patent application was filed or entered the national stage after June 30, 2003, no copy of a U.S. patent or U.S. patent application publication is enclosed.

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Prior application is Application Number _____, filed on _____ of _____ for _____

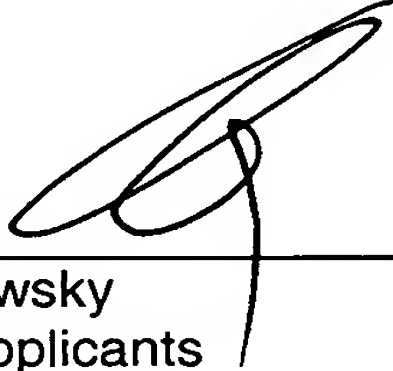
- ☒ Concise Explanation
Documents cited above which are not in the English Language
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- b. ☒ have an abstract (or other concise explanation) in English enclosed or if readily available a translation into English of the document is enclosed.

Form PTO-1449 is enclosed in duplicate.

- ☐ Fees
- ☐ Fee for filing under 37 CFR 1.97(c) or (d) Fee: \$180.00

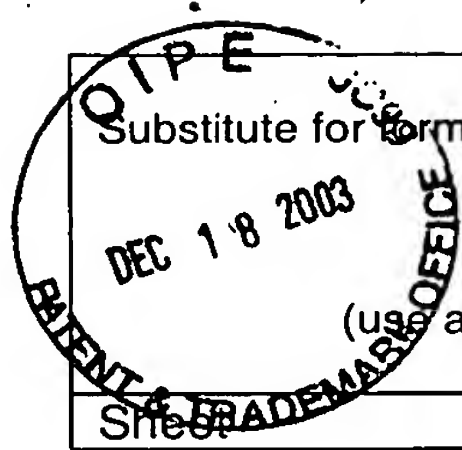
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Arnold S. Milowsky
Attorney for Applicants
December 18, 2003
Reg. No. 35,288

Wyeth
Patent Law Department
Five Giralda Farms
Madison, NJ 07940
Tel. No. 484 865-8615



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| Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | Complete if Known | | |
| | | | Application Number | 10/670,646 | |
| | | | Filing Date | September 24, 2003 | |
| | | | First Named Inventor | Robert J. Steffan et al. | |
| | | | Group Art Unit | | |
| | | | Examiner Name | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | AM100977 |

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| Examiner Signature | | Date Considered | |
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